

U.S. Department of Labor  
Office of Labor-Management Standards  
Washington, DC 20210

**FORM LM-2 LABOR ORGANIZATION ANNUAL REPORT**

MUST BE USED BY LABOR ORGANIZATIONS WITH \$250,000 OR MORE IN TOTAL ANNUAL RECEIPTS  
AND LABOR ORGANIZATIONS IN TRUSTEESHIP

Form Approved  
Office of Management and Budget  
No. 1245-0003  
Expires: 08-31-2016

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

For Official Use Only	1. FILE NUMBER 010-485	2. PERIOD COVERED From 01/01/2013 Through 12/31/2013	3. (a) AMENDED - Is this an amended report: (b) HARDSHIP - Filed under the hardship procedures: (c) TERMINAL - This is a terminal report:	No No No

4. AFFILIATION OR ORGANIZATION NAME TEAMSTERS		8. MAILING ADDRESS (Type or print in capital letters)	
5. DESIGNATION (Local, Lodge, etc.) LOCAL UNION		6. DESIGNATION NBR 964	9. Are your organization's records kept at its mailing address? Yes
7. UNIT NAME (if any) AUTO TRANSPORTATION UNION LOCAL 964		First Name MARK	Last Name FREY
		P.O Box - Building and Room Number 120	
		Number and Street 6511 EASTLAND ROAD	
		City BROOK PARK	
		State OH	ZIP Code + 4 441421309

Each of the undersigned, duly authorized officers of the above labor organization, declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned individual's knowledge and belief, true, correct and complete (See Section V on penalties in the instructions.)

70. SIGNED: Charles Alferio	PRESIDENT	71. SIGNED: Mark Frey	SECRETARY-TREASURER
Date: Mar 21, 2014	Telephone Number: 440-243-8715	Date: Mar 21, 2014	Telephone Number: 440-243-8715

Form LM-2 (Revised 2010)

**ITEMS 10 THROUGH 21**

10. During the reporting period did the labor organization create or participate in the administration of a trust or a fund or organization, as defined in the instructions, which provides benefits for members or beneficiaries?

Yes

11(a). During the reporting period did the labor organization have a political action committee (PAC) fund?

No

11(b). During the reporting period did the labor organization have a subsidiary organization as defined in Section X of these Instructions?

No

12. During the reporting period did the labor organization have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative?

Yes

13. During the reporting period did the labor organization discover any loss or shortage of funds or other assets? (Answer "Yes" even if there has been repayment or recovery.)

No

14. What is the maximum amount recoverable under the labor organization's fidelity bond for a loss caused by any officer, employee or agent of the labor organization who handled union funds?

\$85,000

15. During the reporting period did the labor organization acquire or dispose of any assets in a manner other than purchase or sale?

Yes

16. Were any of the labor organization's assets pledged as security or encumbered in any way at the end of the reporting period?

No

17. Did the labor organization have any contingent liabilities at the end of the reporting period?

No

18. During the reporting period did the labor organization have any changes in its constitution or bylaws, other than rates of dues and fees, or in practices/procedures listed in the instructions?

No

19. What is the date of the labor organization's next regular election of officers?

11/2014

20. How many members did the labor organization have at the end of the reporting period?

719

21. What are the labor organization's rates of dues and fees?

Rates of Dues and Fees					
Dues/Fees	Amount	Unit	Minimum	Maximum	
(a) Regular Dues/Fees	2 - 2.5 times hourly rate plus \$3	per Month	\$18	\$97	
(b) Working Dues/Fees	N/A	per			
(c) Initiation Fees	\$150	per			
(d) Transfer Fees	\$0.50	per			
(e) Work Permits	N/A	per			

**STATEMENT A - ASSETS AND LIABILITIES**

ASSETS
--------

ASSETS	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
22. Cash		\$161,633	\$109,660
23. Accounts Receivable	1	\$2,349	\$3,724
24. Loans Receivable	2		\$0
25. U.S. Treasury Securities		\$0	\$0
26. Investments	5		\$0
27. Fixed Assets	6	\$1,655	\$1,118
28. Other Assets	7	\$160	\$0
29. TOTAL ASSETS		\$165,797	\$114,502

LIABILITIES
-------------

LIABILITIES	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
30. Accounts Payable	8	\$12,938	\$12,369
31. Loans Payable	9		\$0
32. Mortgages Payable		\$0	\$0
33. Other Liabilities	10		\$0
34. TOTAL LIABILITIES		\$12,938	\$12,369

35. NET ASSETS		\$152,859	\$102,133
----------------	--	-----------	-----------

Form LM-2 (Revised 2010)

**2013 - IBT LOCAL 964 CLE** FILE NUMBER: 010-485**STATEMENT B - RECEIPTS AND DISBURSEMENTS**

CASH RECEIPTS		SCH	AMOUNT	CASH DISBURSEMENTS		SCH	AMOUNT
36. Dues and Agency Fees			\$553,949	50. Representational Activities	15		\$237,729
37. Per Capita Tax			\$0	51. Political Activities and Lobbying	16		\$0
38. Fees, Fines, Assessments, Work Permits			\$5,782	52. Contributions, Gifts, and Grants	17		\$2,221
39. Sale of Supplies			\$0	53. General Overhead	18		\$107,217
40. Interest			\$225	54. Union Administration	19		\$35,213
41. Dividends			\$0	55. Benefits	20		\$109,518
42. Rents			\$21,299	56. Per Capita Tax			\$138,065
43. Sale of Investments and Fixed Assets	3		\$0	57. Strike Benefits			\$0
44. Loans Obtained	9		\$0	58. Fees, Fines, Assessments, etc.			\$0
45. Repayments of Loans Made	2		\$0	59. Supplies for Resale			\$0
46. On Behalf of Affiliates for Transmittal to Them			\$239	60. Purchase of Investments and Fixed Assets	4		\$172
47. From Members for Disbursement on Their Behalf			\$185	61. Loans Made	2		\$0
48. Other Receipts	14		\$18,481	62. Repayment of Loans Obtained	9		\$0
49. TOTAL RECEIPTS			\$600,160	63. To Affiliates of Funds Collected on Their Behalf			\$239
				64. On Behalf of Individual Members			\$185
				65. Direct Taxes			\$21,574
				66. Subtotal			\$652,133
				67. Withholding Taxes and Payroll Deductions			
				67a. Total Withheld		\$101,292	
				67b. Less Total Disbursed		\$101,292	
				67c. Total Withheld But Not Disbursed			
				68. TOTAL DISBURSEMENTS			\$652,133

Form LM-2 (Revised 2010)

## SCHEDULE 1 - ACCOUNTS RECEIVABLE AGING SCHEDULE

# 2013 - IBT LOCAL 964 CLE

 FILE NUMBER: 010-485

Entity or Individual Name (A)	Total Account Receivable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account Receivable (E)
Total of all itemized accounts receivable	\$0	\$0	\$0	\$0
Totals from all other accounts receivable	\$3,724	\$0	\$0	\$0
<b>Totals</b> (Total of Column (B) will be automatically entered in Item 23, Column(B))	\$3,724	\$0	\$0	\$0

Form LM-2 (Revised 2010)

**SCHEDULE 2 - LOANS RECEIVABLE**

List below loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans to business enterprises regardless of amount. (A)	Loans Outstanding at Start of Period (B)	Loans Made During Period (C)	Repayments Received During Period		Loans Outstanding at End of Period (E)
			Cash (D)(1)	Other Than Cash (D)(2)	
Total of loans not listed above	\$0	\$0	\$0	\$0	\$0
Total of all lines above	\$0	\$0	\$0	\$0	\$0
Totals will be automatically entered in...	Item 24 Column (A)	Item 61	Item 45	Item 69 with Explanation	Item 24 Column (B)

Form LM-2 (Revised 2010)

**SCHEDULE 3 - SALE OF INVESTMENTS AND FIXED ASSETS****2013 - IBT LOCAL 964 CLE** FILE NUMBER: 010-485

Description (if land or buildings give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
Total of all lines above	\$0	\$0	\$0	\$0
			Less Reinvestments	\$0
			Net Sales	\$0

(The total from Net Sales Line will be automatically entered in Item 43)

Form LM-2 (Revised 2010)

**SCHEDULE 4 - PURCHASE OF INVESTMENTS AND FIXED ASSETS****2013 - IBT LOCAL 964 CLE** FILE NUMBER: 010-485

Description (if land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
Office equipment	\$172	\$172	\$172
Total of all lines above	\$172	\$172	\$172
		Less Reinvestments	\$0
(The total from Net Purchases Line will be automatically entered in Item 60.)		Net Purchases	\$172

Form LM-2 (Revised 2010)



**SCHEDULE 5 - INVESTMENTS**

Description (A)	Amount (B)
Marketable Securities	
A. Total Cost	
B. Total Book Value	
C. List each marketable security which has a book value over \$5,000 and exceeds 5% of Line B.	
Other Investments	
D. Total Cost	
E. Total Book Value	
F. List each other investment which has a book value over \$5,000 and exceeds 5% of Line E. Also, list each subsidiary for which separate reports are attached.	
<b>G. Total of Lines B and E</b> (Total will be automatically entered in Item 26, Column(B))	<b>\$0</b>

Form LM-2 (Revised 2010)

**SCHEDULE 6 - FIXED ASSETS**

Description (A)	Cost or Other Basis (B)	Total Depreciation or Amount Expensed (C)	Book Value (D)	Value (E)
A. Land (give location)	\$0		\$0	\$0
B. Buildings (give location)	\$0	\$0	\$0	\$0
C. Automobiles and Other Vehicles	\$0	\$0	\$0	\$0
D. Office Furniture and Equipment	\$52,080	\$51,335	\$745	\$5,789
E. Other Fixed Assets	\$159,637	\$159,264	\$373	\$373
<b>F. Totals of Lines A through E</b> (Column(D) Total will be automatically entered in Item 27, Column(B))	\$211,717	\$210,599	\$1,118	\$6,162

Form LM-2 (Revised 2010)

**SCHEDULE 7 - OTHER ASSETS**

Description (A)	Book Value (B)
<b>Total</b> (Total will be automatically entered in Item 28, Column(B))	\$0

Form LM-2 (Revised 2010)

## SCHEDULE 8 - ACCOUNTS PAYABLE AGING SCHEDULE

# 2013 - IBT LOCAL 964 CLE

FILE NUMBER: 010-485

Entity or Individual Name (A)	Total Account Payable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account Payable (E)
Total for all itemized accounts payable	\$0	\$0	\$0	\$0
Total from all other accounts payable	\$12,369	\$0	\$0	\$0
<b>Totals</b> (Total for Column(B) will be automatically entered in Item 30, Column(D))	\$12,369	\$0	\$0	\$0

Form LM-2 (Revised 2010)

**SCHEDULE 9 - LOANS PAYABLE**

Source of Loans Payable at Any Time During the Reporting Period (A)	Loans Owed at Start of Period (B)	Loans Obtained During Period (C)	Repayment During Period Cash (D)(1)	Repayment During Period Other Than Cash (D)(2)	Loans Owed at End of Period (E)
Total Loans Payable	\$0	\$0	\$0	\$0	\$0
Totals will be automatically entered in...	Item 31 Column (C)	Item 44	Item 62	Item 69 with Explanation	Item 31 Column (D)

Form LM-2 (Revised 2010)

**SCHEDULE 10 - OTHER LIABILITIES**

Description (A)	Amount at End of Period (B)
<b>Total Other Liabilities</b> (Total will be automatically entered in Item 33, Column(D))	\$0

Form LM-2 (Revised 2010)

## SCHEDULE 11 - ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

## 2013 - IBT LOCAL 964 CLE FILE NUMBER: 010-485

	(A) Name	(B) Title	(C) Status	(D) Gross Salary Disbursements (before any deductions)	(E) Allowances Disbursed	(F) Disbursements for Official Business	(G) Other Disbursements not reported in (D) through (F)	(H) TOTAL		
A	Frey , Mark									
B	Secretary-Treasurer			\$86,060	\$10,200	\$1,275	\$0	\$97,535		
C										
I	Schedule 15 Representational Activities	92 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	1 %	Schedule 18 General Overhead	1 %	Schedule 19 Administration	6 %
A	Alferio , Charles									
B	President			\$75,400	\$10,200	\$3,643	\$0	\$89,243		
C										
I	Schedule 15 Representational Activities	91 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	1 %	Schedule 18 General Overhead	2 %	Schedule 19 Administration	6 %
A	Huddleston , Dwight									
B	Vice President			\$951	\$3,600	\$4	\$0	\$4,555		
C										
I	Schedule 15 Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	90 %
A	Kay , Edward									
B	Recording Secretary			\$0	\$4,800	\$49	\$0	\$4,849		
C										
I	Schedule 15 Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	90 %
A	Meglich , Michael									
B	Trustee			\$0	\$3,600	\$4	\$0	\$3,604		
C										
I	Schedule 15 Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	90 %
A	Szarka , Daryl									
B	Trustee			\$0	\$3,600	\$4	\$0	\$3,604		
C										
I	Schedule 15 Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	90 %
A	Karjala , Michael									
B	Trustee			\$1,032	\$3,600	\$4	\$0	\$4,636		
C										
I	Schedule 15 Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	90 %
Total Officer Disbursements				\$163,443	\$39,600	\$4,983	\$0	\$208,026		
Less Deductions								\$86,165		
Net Disbursements								\$121,861		

Form LM-2 (Revised 2010)

**SCHEDULE 12 - DISBURSEMENTS TO EMPLOYEES**

	(A) Name	(B) Title	(C) Other Payer	(D) Gross Salary Disbursements (before any deductions)	(E) Allowances Disbursed	(F) Disbursements for Official Business	(G) Other Disbursements not reported in (D) through (F)	(H) TOTAL		
A	Vadini, Mary									
B	Office Manager			\$44,902	\$0	\$388	\$0	\$45,290		
C	N/A									
I	Schedule 15 Representational Activities	13 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	85 %	Schedule 19 Administration	2 %
TOTALS RECEIVED BY EMPLOYEES MAKING \$10,000 OR LESS				\$19,804	\$0	\$10,200	\$0	\$30,004		
I	Schedule 15 Representational Activities	95 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	5 %	Schedule 19 Administration	0 %
Total Employee Disbursements				\$64,706	\$0	\$10,588	\$0	\$75,294		
Less Deductions								\$15,127		
Net Disbursements								\$60,167		

Form LM-2 (Revised 2010)



**SCHEDULE 13 - MEMBERSHIP STATUS**

Category of Membership (A)	Number (B)	Voting Eligibility (C)
Active	692	Yes
Officers	2	Yes
Stewards	25	Yes
<b>Members</b> (Total of all lines above)	719	
Agency Fee Payers*	1	
Total Members/Fee Payers	720	
*Agency Fee Payers are not considered members of the labor organization.		

Form LM-2 (Revised 2010)

**DETAILED SUMMARY PAGE - SCHEDULES 14 THROUGH 19**

SCHEDULE 14 OTHER RECEIPTS	
1. Named Payer Itemized Receipts	\$0
2. Named Payer Non-itemized Receipts	\$15,139
3. All Other Receipts	\$3,342
4. Total Receipts	\$18,481

SCHEDULE 15 REPRESENTATIONAL ACTIVITIES	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$9,439
3. To Officers	\$173,068
4. To Employees	\$34,392
5. All Other Disbursements	\$20,830
6. Total Disbursements	\$237,729

SCHEDULE 16 POLITICAL ACTIVITIES AND LOBBYING	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$0
4. To Employees	\$0
5. All Other Disbursements	\$0
6. Total Disbursement	\$0

SCHEDULE 17 CONTRIBUTIONS, GIFTS & GRANTS	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$1,867
4. To Employees	\$0
5. All Other Disbursements	\$354
6. Total Disbursements	\$2,221

SCHEDULE 18 GENERAL OVERHEAD	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$39,948
3. To Officers	\$2,760
4. To Employees	\$39,997
5. All Other Disbursements	\$24,512
6. Total Disbursements	\$107,217

SCHEDULE 19 UNION ADMINISTRATION	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$30,331
4. To Employees	\$906
5. All Other Disbursements	\$3,976
6. Total Disbursements	\$35,213

Form LM-2 (Revised 2010)

**SCHEDULE 14 - OTHER RECEIPTS**

Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
Ohio Teamsters Strike Fund 6511 Eastland Road Brook Park OH 44142	Total Itemized Transactions with this Payee/Payer		
Type or Classification (B)	Total Non-Itemized Transactions with this Payee/Payer		\$15,139
Strike Fund	Total of All Transactions with this Payee/Payer for This Schedule		\$15,139

Form LM-2 (Revised 2010)

**SCHEDULE 15 - REPRESENTATIONAL ACTIVITIES**

Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
The Palmer House Hilton 17 E. Monroe St. Chicago IL 60603			
Type or Classification (B)	Total Itemized Transactions with this Payee/Payer		
Lodging	Total Non-Itemized Transactions with this Payee/Payer		
	Total of All Transactions with this Payee/Payer for This Schedule		
			\$9,439
			\$9,439

Form LM-2 (Revised 2010)

**SCHEDULE 16 - POLITICAL ACTIVITIES AND LOBBYING**

Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
	Total Itemized Transactions with this Payee/Payer		
	Total Non-Itemized Transactions with this Payee/Payer		
-1	Total of All Transactions with this Payee/Payer for This Schedule		\$0
Type or Classification (B)			

Form LM-2 (Revised 2010)

**SCHEDULE 17 - CONTRIBUTIONS, GIFTS & GRANTS**

Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
	Total Itemized Transactions with this Payee/Payer		
	Total Non-Itemized Transactions with this Payee/Payer		
-1	Total of All Transactions with this Payee/Payer for This Schedule		\$0
Type or Classification (B)			

Form LM-2 (Revised 2010)

**SCHEDULE 18 - GENERAL OVERHEAD**

Name and Address (A)		Purpose (C)	Date (D)	Amount (E)
Eastland Properties				
1801 E. 9th St. #1505 Cleveland OH 44115				
Type or Classification (B)				
Landlord				
Name and Address (A)				
Maloney + Novotny LLC				
1111 Superior Ave. #700 Cleveland OH 44114				
Type or Classification (B)				
Auditor				
Name and Address (A)				
Mary Vadini				
6511 Eastland Rd. Brook Park OH 44142				
Type or Classification (B)				
Employee - reimbursable wages from OH Teamsters SF				
		Total Itemized Transactions with this Payee/Payer		
		Total Non-Itemized Transactions with this Payee/Payer		\$21,048
		Total of All Transactions with this Payee/Payer for This Schedule		\$21,048
		Total Itemized Transactions with this Payee/Payer		
		Total Non-Itemized Transactions with this Payee/Payer		\$5,900
		Total of All Transactions with this Payee/Payer for This Schedule		\$5,900
		Total Itemized Transactions with this Payee/Payer		
		Total Non-Itemized Transactions with this Payee/Payer		\$13,000
		Total of All Transactions with this Payee/Payer for This Schedule		\$13,000

Form LM-2 (Revised 2010)

There was no data found for this schedule.

## SCHEDULE 20 - BENEFITS

FILE NUMBER: 010-485

Description (A)	To Whom Paid (B)	Amount (C)
Pension	Central States Pension Fund	\$36,464
Pension	Teamsters Union Local No. 52 Pension Fund	\$15,425
Health & Welfare	Central States Health & Welfare Fund	\$32,576
Health & Welfare	Teamsters Union Local No. 52 Health & Welfare Fund	\$16,597
Strike Fund	Ohio Teamsters Strike Fund	\$8,456
Total of all lines above (Total will be automatically entered in Item 55.)		\$109,518

Form LM-2 (Revised 2010)



**69. ADDITIONAL INFORMATION SUMMARY**

Item 71 Title: The title of the principal officer of the organization is Secretary-Treasurer.

Question 10: Fleet Owners Insurance Fund; 34-6540902 501; 6511 Eastland Road, Brook Park, OH 44142; Provides health and welfare benefits; Files forms 5500 and 990. Dealers-Unions Insurance Fund; 34-6529808 501; 2902 Euclid Avenue, Cleveland, OH 44115; Provides health and welfare benefits; Files forms 5500 and 990.

Question 12: Audit for the year ended 12/31/2013 being performed by independent CPA firm Maloney + Novotny LLC.

Question 15: Hats/shirts with a cost of \$160 were given to members and expensed accordingly.

Schedule 13, Row1:Members currently working or able to work in the trade. Pay full dues.

Schedule 13, Row3:Members working in the industry who are serving the membership as stewards. Dues are paid by the union, per union bylaws.

Schedule 13, Row2:Members who are serving as full-time officers of the organization. Pay full dues.

Form LM-2 (Revised 2010)